

CANDIDATE CAMPAIGN CONTRIBUTIONS AND EXPENDITURES

FINAL REPORT

Herbert For Senate
FULL NAME OF CANDIDATE OR CANDIDATE'S CAMPAIGN COMMITTEE

112 Thompson Street, Suite D
MAILING ADDRESS

Ashland, VA 23005
CITY, STATE, ZIP CODE

(804) 798-1006
AREA CODE - DAYTIME TELEPHONE NUMBER FOR PERSON PREPARING THIS REPORT

Senate
OFFICE SOUGHT

4th District
COUNTY/CITY/DISTRICT

November, 1999
DATE OF ELECTION

PRIMARY/GENERAL SPECIAL
TYPE OF ELECTION [CHECK ONE SQUARE]

IF ALL DEBTS, INCLUDING LOANS, HAVE BEEN PAID AND A SURPLUS EXISTS, A CANDIDATE MAY EITHER CONTINUE TO FILE SEMI-ANNUAL REPORTS EACH JANUARY 15 AND JULY 15 OR MAY DISPOSE OF SURPLUS FUNDS IN ACCORDANCE WITH LAW AND FILE A FINAL REPORT WHICH DETAILS THEIR DISPOSITION. A FINAL REPORT MUST BE FILED NO LATER THAN 30 DAYS AFTER AN ACCOUNT IS CLOSED FOR ANY ELECTION. BOTH STATEMENTS BELOW MUST BE SIGNED AS INDICATED.

PENALTIES PRESCRIBED BY LAW

- \$ 300 MAXIMUM CIVIL PENALTY FOR FAILURE TO FILE ON TIME, OR FAILURE TO PROVIDE ANY INFORMATION REQUIRED IN, ANY REPORT DUE ON OR AFTER JULY 15 AND BEFORE DECEMBER 14.
- \$ 50 CIVIL PENALTY FOR FAILURE TO FILE ON TIME, OR TO PROVIDE ANY INFORMATION REQUIRED IN, ANY REPORT DUE AFTER DECEMBER 13 AND BEFORE JULY 15.
- \$ 2,500 AND/OR CONFINEMENT IN JAIL FOR 12 MONTHS: MAXIMUM PENALTY FOR EITHER WILLFUL FAILURE TO FILE A REPORT ON TIME OR WILLFUL FAILURE TO PROVIDE REQUIRED INFORMATION.
- \$ 2,500 AND/OR CONFINEMENT FOR UP TO TEN YEARS: MAXIMUM PENALTY FOR KNOWINGLY MAKING ANY UNTRUE STATEMENT OR ENTRY IN THIS REPORT. THIS OFFENSE IS A FELONY UNDER VIRGINIA LAW AND YOU ALSO LOSE YOUR RIGHT TO VOTE.

STATEMENT OF TREASURER OR CANDIDATE

I DECLARE, SUBJECT TO THE PENALTIES OF LAW SET FORTH ABOVE, THAT THIS REPORT FOR THE PERIOD 4-1-99 THROUGH 5-26-99, INCLUDING ALL ITS ACCOMPANYING SCHEDULES, IS TO THE BEST OF MY KNOWLEDGE AND BELIEF TRUE, CORRECT AND COMPLETE.

5-28-99
DATE

William C. ...
SIGNATURE OF TREASURER OR CANDIDATE

TERMINATION STATEMENT OF CANDIDATE

I DECLARE, SUBJECT TO THE PENALTIES OF LAW SET FORTH ABOVE, THAT THIS FINAL REPORT FULLY DISCLOSES ALL PREVIOUSLY UNREPORTED RECEIPTS AND DISBURSEMENTS, AN ACCOUNTING OF THE RETIREMENT OF ALL DEBTS, AND THE DISPOSITION OF ALL SURPLUS FUNDS. ANY SURPLUS FUNDS TRANSFERRED FOR USE IN A SUCCEEDING ELECTION ARE SHOWN ON SCHEDULE H IN ITEM 16C IN THE FOLLOWING AMOUNT:

\$ 0.00

5-28-99
DATE

William C. ...
CANDIDATE MUST SIGN HERE

CANDIDATE CAMPAIGN COMMITTEE CONTRIBUTIONS AND EXPENDITURES

REPORTING REQUIREMENTS CONTINUE IN EFFECT UNTIL A FINAL REPORT IS FILED

Herbert For Senate
FULL NAME OF CANDIDATE OR CANDIDATE'S CAMPAIGN COMMITTEE

Senate
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November, 1999
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PRIMARY/GENERAL SPECIAL
TYPE OF ELECTION [CHECK ONE SQUARE]

PENALTIES PRESCRIBED BY LAW

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TYPE OF REPORT [CHECK ONE SQUARE BELOW]

- SCHEDULES IDENTIFIED WITH THIS SYMBOL APPLY TO CANDIDATES FOR STATE OR LOCAL OFFICES.
- ◆ SCHEDULES IDENTIFIED WITH THIS SYMBOL APPLY TO CANDIDATES IN THE JUNE PRIMARIES AND/OR NOVEMBER GENERAL ELECTIONS.
- SCHEDULES IDENTIFIED WITH THIS SYMBOL APPLY TO CANDIDATES IN THE MARCH PRIMARIES AND/OR MAY GENERAL ELECTIONS.
- ▲ SCHEDULES IDENTIFIED WITH THIS SYMBOL APPLY TO CANDIDATES IN SPECIAL ELECTIONS NOT HELD AT THE SAME TIME AS A MAY OR NOVEMBER GENERAL ELECTION.

MAY ELECTION

NOT APPLICABLE FOR CALENDAR YEAR 1999

NOVEMBER ELECTION

JANUARY 15, 1999

APRIL 15, 1999

JUNE 1
[MAY 31, 1999 IS A HOLIDAY]

JULY 15, 1999

SEPTEMBER 15, 1999

OCTOBER 15, 1999

OCTOBER 25, 1999

DECEMBER 2, 1999

NON-ELECTION YEAR

JANUARY 15, 1999

JULY 15, 1999

SPECIAL ELECTION

PRE-ELECTION

POST ELECTION

IF NOTHING OF VALUE IS RECEIVED AND NO MONEY IS SPENT DURING THE REPORTING PERIOD, FILE ONLY THE REPORT OF NO ACTIVITY.

IF ANY ITEM OF VALUE IS RECEIVED OR MONEY IS SPENT DURING THE REPORTING PERIOD, FILE THIS FORM WITH SCHEDULES G AND H AND ANY OTHERS REQUIRED BY ITEMS REPORTED THEREON.

IF ALL DEBTS ARE PAID AND SURPLUS FUNDS DISPOSED OF FILE THE FINAL REPORT FORM AND ALL SCHEDULES REQUIRED BY ACTIVITY OCCURRING SINCE THE LAST REPORTING PERIOD.

STATEMENT OF CANDIDATE OR TREASURER

I declare, subject to the penalties of law set forth above, that this report for the period 4-1-99 through 5-26-99, including all its accompanying schedules, is to the best of my knowledge and belief true, correct and complete.

5-28-99
DATE

[Signature]
SIGNATURE OF CANDIDATE OR TREASURER

SCHEDULE C: REBATES, REFUNDS AND INTEREST

DATE PREPARED: 5/28/99

MUST BE TYPED OR PRINTED LEGIBLY

PAGE: 1 OF 1

Herbert For Senate
 FULL NAME OF CANDIDATE, CANDIDATE'S COMMITTEE OR POLITICAL COMMITTEE

FULL NAME AND ADDRESS OF PAYER [LIST IN ALPHABETICAL ORDER]	REASON/TYPE OF PAYMENT	DATE RECEIVED	PAYMENT AMOUNT
None	N/A	N/A	0.00

PENALTY: A fine not exceeding \$300 may be assessed if all information required on this form is not given.

TOTAL THIS PERIOD
 [Enter on last page of Schedule C and on Line 5 of Schedule G.] **\$ 0.00**

SCHEDULE D: ITEMIZATION OF EXPENDITURES MUST BE TYPED OR PRINTED LEGIBLY

DATE PREPARED: 5-28-99

DO NOT INCLUDE REPAYMENT OF LOAN PRINCIPAL OR DISPOSITION OF SURPLUS FUNDS ON THIS SCHEDULE

DO LIST ALL OTHER PAYMENTS, INCLUDING INTEREST, FEE AND/OR FINANCE CHARGES ON A LOAN

Herbert For Senate

PAGE: 1 OF 1

FULL NAME OF CANDIDATE, CANDIDATE'S COMMITTEE OR POLITICAL COMMITTEE

PERSON OR COMPANY PAID	MAILING ADDRESS OF PAYEE INCLUDE ZIP	ITEM OR SERVICE	NAME OF PERSON AUTHORIZING EXPENDITURE	DATE OF EXPENDITURE	AMOUNT PAID
Cynthia Martin	500 Suber Drive Virginia Beach, VA 234522	Refund of Contribution	Herbert	4/22/99	\$100.00
S. K. McDougle, Jr.	10005 Chamberlayne Rd. Mechanicsville, VA 23111	Refund of Contribution	Herbert	4/22/99	\$500.00
William H. Talley, III	1603 E. Tuckahoe St. Petersburg, VA 23305	Refund of Contribution	Herbert	4/22/99	\$500.00
William C. Herbert, III	112 Thompson Street Suite D Ashland, VA 23005	Reimbursement for office supplies	Herbert	4/28/99	\$327.86
Nations Bank	P.O. Box 27025 Richmond, VA 23261	Service Fee	Herbert	4/99	\$ 11.00
William C. Herbert, Jr.	113 Hanover Avenue Ashland, VA 23005	Partial Refund of Contribution	Herbert	5/26/99	\$441.71
Nations Bank	P.O. Box 27025 Richmond, VA 23261	Service Fee	Herbert	5/99	\$ 11.00

PENALTY: A fine not exceeding \$300 may be assessed if all information required on this form is not given.

TOTAL THIS PERIOD
(Enter on last page of Schedule D and
on Line 7 of Schedule G.)

\$1,891.57

SCHEDULE E: LOANS

DATE PREPARED: 5-28-99

PAGE: 1 OF 1

MUST BE TYPED OR PRINTED LEGIBLY

Herbert For Senate
 FULL NAME OF CANDIDATE, CANDIDATE'S COMMITTEE OR POLITICAL COMMITTEE

PART I: ITEMIZATION OF LOANS RECEIVED

FULL NAME OF LENDER AND, IF ONE, CO-BORROWER, GUARANTOR OR ENDORSER [LIST IN ALPHABETICAL ORDER]	ADDRESS OF LENDER AND, IF ONE, CO-BORROWER, GUARANTOR OR ENDORSER INCLUDE ZIP	DATE RECEIVED	AMOUNT OF LOAN THIS PERIOD	AGGREGATE TO DATE
None	N/A	N/A	0.00	0.00
			TOTAL THIS PERIOD [Enter on last page of Schedule E, Part I and on Line 10 of Schedule G]	\$ 0.00

PART II: ITEMIZATION OF LOANS REPAYD

FULL NAME OF LENDER AND, IF ONE, CO-BORROWER, GUARANTOR OR ENDORSER [LIST IN ALPHABETICAL ORDER]	ADDRESS OF LENDER AND, IF ONE, CO-BORROWER, GUARANTOR OR ENDORSER INCLUDE ZIP	DATE REPAYD	AMOUNT REPAYD THIS PERIOD	AGGREGATE TO DATE
None	N/A	N/A	0.00	0.00
			TOTAL THIS PERIOD [Enter on last page of Schedule E, Part II and on Line 12 of Schedule G]	\$ 0.00

PENALTY: A fine not exceeding \$300 may be assessed if all information required on this form is not given.

SCHEDULE F: DEBTS REMAINING UNPAID AS OF THIS REPORT

DATE PREPARED: 5-28-99

MUST BE TYPED OR PRINTED LEGIBLY

PAGE: 1 OF 1

Include all contracts, credit purchases and loans payable

Herbert For Senate

FULL NAME OF CANDIDATE, CANDIDATE'S COMMITTEE OR POLITICAL COMMITTEE

FULL NAME OF CREDITOR	MAILING ADDRESS OF CREDITOR INCLUDE ZIP	PURPOSE OF OBLIGATION	DATE DEBT INCURRED	AMOUNT REMAINING UNPAID
None	N/A.	N/A	0.00	0.00

PENALTY: A fine not exceeding \$300 may be assessed if all information required on this form is not given.

TOTAL THIS PERIOD
 [Enter on last page of Schedule F and on Line 20 of Schedule H.] \$ 0.00

**SCHEDULE G
STATEMENT OF FUNDS**

PENALTY: A FINE NOT EXCEEDING \$300 MAY BE ASSESSED IF ALL INFORMATION REQUIRED ON THIS FORM IS NOT GIVEN. ENTER A ZERO FOR ANY ITEM ON WHICH NO ACTIVITY OCCURRED.

MUST BE TYPED OR PRINTED LEGIBLY

Herbert For Senate

FULL NAME OF CANDIDATE, CANDIDATE'S COMMITTEE, OR POLITICAL COMMITTEE

REPORT COVERING PERIOD FROM 4-1-99 THROUGH 5-26-99

CONTRIBUTIONS RECEIVED THIS PERIOD

	Number of Contributors	Amount
1. Schedule A [Over \$100]	# <u>0</u>	\$ <u>0.00</u>
2. Schedule B [In-Kind Over \$100]	# <u>0</u>	\$ <u>0.00</u>
3. Unitemized [\$100 or less]	# <u>0</u>	\$ <u>0.00</u>
4. TOTAL [Add Lines 1, 2 & 3] [also enter on Line 15a on Schedule H]	# <u>0</u>	\$ <u>0.00</u>

REBATES, REFUNDS AND INTEREST RECEIVED THIS PERIOD

5. Schedule C [also enter on Line 15b on Schedule H] \$ 0.00

EXPENDITURES MADE THIS PERIOD

6. Schedule B [In-Kind Over \$100]	\$ <u>0.00</u>
7. Schedule D [Expenditures]	\$ <u>1,891.57</u>
8. TOTAL [add Lines 6 and 7] [also enter on Line 16a on Schedule H]	\$ <u>1,891.57</u>

RECONCILIATION OF LOAN ACCOUNT

9. Beginning loan balance [from Line 13 of last report]	\$ <u>0.00</u>
10. Add: Loans received this period [from Schedule E - Part I]	\$ <u>0.00</u>
11. SUBTOTAL [Add Lines 9 and 10]	\$ <u>0.00</u>
12. Subtract: Loans repaid this period [from Schedule E - Part II]	\$ <u>(0.00)</u>
13. Ending loan balance [subtract Line 12 from Line 11]	\$ <u>0.00</u>

**SCHEDULE H
SUMMARY OF RECEIPTS AND DISBURSEMENTS**

PENALTY: A FINE NOT EXCEEDING \$300 MAY BE ASSESSED IF ALL INFORMATION REQUIRED ON THIS FORM IS NOT GIVEN. ENTER A ZERO FOR ANY ITEM ON WHICH NO ACTIVITY OCCURRED.

MUST BE TYPED OR PRINTED LEGIBLY

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REPORT COVERING PERIOD FROM 4-1-99 THROUGH 5-26-99

14. *Beginning balance [from Line 17 of last report] \$ 1,891.57

15. Receipts for reporting period:

- a. Add: Contributions received this period [from Line 4 of Schedule G of this report] \$ 0.00
- b. Add: Rebates, refunds and interest [from Line 5 of Schedule G of this report] \$ 0.00
- c. Add: Loans received this period [from Line 10 of Schedule G of this report] \$ 0.00
- d. Total expendable funds [add Lines 14, 15a, 15b and 15c] \$ 1,891.57

16. Disbursements for reporting period:

- a. Expenditures made this period \$ 1,891.57
[from Line 8 on Schedule G of this report]
- b. Add: Loans repaid this period \$ 0.00
[from Line 12 of Schedule G of this report]
- c. Add: Surplus funds transferred to a succeeding election \$ 0.00
[enter here and in Termination Statement on Final Report]
- d. Add: Other surplus funds paid out \$ 0.00
[from Schedule I of this report]
- e. Total payments made [Add Lines 16a, 16b, 16c and 16d] \$ 1,891.57

17. Ending balance [Subtract Line 16e from Line 15d] \$ 0.00

COMMITTEE'S CONTRIBUTIONS AND EXPENDITURES - TOTALS TO DATE

- 18. Contributions [Add total from Line 18 on Schedule H of last report to Line 15a above] \$ 2,100.00
- 19. Expenditures [Add total from Line 19 on Schedule H of last report to Line 16a above] \$ 2,100.00
- 20. Debts remaining unpaid [from Schedule F of this report] \$ 0.00

21. Committee's Depository: Nations Bank

Account Number: 004113083347

* A candidate's first report for any election campaign must show a beginning balance of ZERO. Funds transferred from a previous election must be shown on Schedule A as a contribution from that campaign and included in Line 1 of Schedule G and in 15a above.